

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Heinz Peter VOLLMERS et al.

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Box: Patent Applications

Group Art Unit:

Examiner: Not Yet Assigned

For: SUBSTANCE FOR OBTAINING HIGHLY EFFECTIVE TUMOR MEDICATIONS
AS WELL AS A PROCESS

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Prior to examination of the above-identified application, please amend the application as follows.

IN THE CLAIMS:

Claim 3,	line 1:	Delete "or 2".
Claim 4,	line 1:	Delete "one of";
	line 2:	Delete "claims 1 to 3" and insert -- claim 1 --.
Claim 5,	line 1:	Delete "one of claims 1 to 3" and insert -- claim 1 --.
Claim 7,	line 1:	Delete "or 6".
Claim 8,	line 1:	Delete "one of claims 5 to 7" and insert -- claim 5 --.
Claim 9,	line 1:	Delete "one of claims 5 to 8" and insert -- claim 5 --.
Claim 10,	line 1:	Delete "one of claims 5 to 9" and insert -- claim 5 --.
Claim 12,	line 1:	Delete "one of claims 5 to 11" and insert -- claim 5 --.
Claim 14,	line 2:	Delete "one of claims 1 to 3" and insert -- claim 1 --.
Claim 15,	line 2:	Delete "one of claims 1 to 3" and insert -- claim 1 --.
Claim 16,	line 2:	Delete "one of claims 1 to 3" and insert -- claim 1 --.
Claim 17,	line 4:	Delete "one of claims 1 to 3" and insert -- claim 1 --.
Claim 18,	line 3:	Delete "one of claims"

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line 4: Delete "1 to 3" and insert -- claim 1 --.

Claim 19, line 3: Delete "one of claims 1 to 3" and insert -- claim 1 --.

Claim 20, line 4: Delete "one of claims 1 to 3" and insert -- claim 1 --.

Claim 21, line 2: Delete "one of claims 1 to 3" and insert -- claim 1 --.

Claim 22, line 2: Delete "one of claims 1 to 3" and insert -- claim 1 --.

Claim 23, line 2: Delete "one of claims 1 to 3" and insert -- claim 1 --.

Claim 24, line 2: Delete "one of claims 1 to 3" and insert -- claim 1 --.

Claim 25, line 2: Delete "one of claims 1 to 3" and insert -- claim 1 --.

Claim 26, line 1: Delete "one of claims 21 to 25" and insert -- claim 21 --.

Claim 27, line 1: Delete "one of claims 21 to 26" and insert -- claim 21 --.

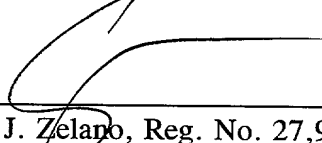
Claim 28, line 1: Delete "one of claims 21 to 27" and insert -- claim 21 --;

line 3: Delete in its entirety.

REMARKS

The purpose of this Preliminary Amendment is to eliminate multiply dependent claims, and the fee associated therewith, Applicants reserving the right to reintroduce claims to canceled combined subject matter.

Respectfully submitted,



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**Substance for Obtaining Highly Effective Tumor Medications
as well as a Process**



Description

The invention relates to a substance as well as a process for obtaining anti-tumor agents.

Gastric carcinoma is one of the most common types of cancer worldwide. According to Lauren in "The Two Histological Main Types of Gastric Carcinoma," Acta Path Microbiol Scand; 64: 331-49, they are histologically divided into diffuse adenocarcinomas and intestinal adenocarcinomas. Intestinal gastric carcinomas are often accompanied by chronic gastritis B and especially by intestinal metaplasias, which are considered to be precursors of dysplastic alterations and of gastric carcinomas. Differences between these two types are also indicated in that patients with carcinomas of the diffuse type often belong to blood group A, from which it can be deduced that genetic factors influence the risk of cancer, while environmental factors, e.g., a Helicobacter pylori infection, are possibly of importance for the development of carcinomas of the intestinal type. It is noted that gastric-adenocarcinomas are becoming less common in the West but are now on the rise in the East.

Up until now, therapy has been limited to gastrectomy and lymphadenectomy, but because of the still poor prognosis, there is a need for a new accompanying therapy. Immunological studies have shown that even in cases in which the immune system cannot

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effectively control malignant cells, a cellular and humoral activity can be measured, which is not sufficient, however, to destroy the tumor cells. A more effective effort is now to isolate the antibodies that originate from the immune response of the patient, to reproduce them in a suitable manner and to use them therapeutically. Thus, for example, antibodies that originate from patients with lung, esophageal and colon cancer were isolated, and human monoclonal antibodies that influence, e.g., direct differentiation and the growth of the tumor cells, but which in most cases have the problem of interaction with other tumors or healthy cells, were derived from them.

It is known that human monoclonal SC-1 antibodies can trigger apoptosis in gastric carcinoma cells (Vollmers et al., Cancer 49 (1989), 2471-2476). The antibody reacts with almost all adenocarcinomas of diffuse type and about 20% of the adenocarcinomas of intestinal type (Vollmers et al., Cancer 76 (1995), 550-558; Vollmers et al., Cancer 79 (1997), 433-440). In clinical studies, it was found that antibody SC-1 is able to induce a tumor-specific regression and apoptosis in primary stomach cancer without toxic cross-reactivity relative to normal tissue (Vollmers et al., Oncol. Rep. 5 (1998), 549-552).

Apoptosis is the programmed cell death, suicide of cells, by fragmentation of the DNA, plasmolysis and dilatation of the endoplasmatic reticulum, followed by cell fragmentation and the formation of membrane vesicles, the so-called apoptotic elements. Apoptosis, the physiological form of cell death, ensures a quick and smooth removal of unnecessary cells without triggering

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inflammatory processes or tissue damages as in the case of necrosis. Under pathological conditions, it is also used to remove malignant cells, such as, for example, cancer precursor cells. It can be triggered by the most varied stimuli, such as, for example, by cytotoxic T-lymphocytes or cytokines, such as tumor necrosis factors, glucocorticoids and antibodies. It is the most common cause of death of eucaryotic cells and occurs in embryogeneses, metamorphoses and tissue atrophy. Apoptotic receptors on the cell surface, such as that of the NGF/TNF family, are predominantly expressed in lymphocytes, but are also found in various other cell types, and thus they are not suitable for cancer treatment. In in-vivo tests, ligands and antibodies for these receptors have led in particular to liver damage. Tumor-specific receptors with an apoptotic function are therefore especially important.

The cellular receptor of monoclonal antibody SC-1 was previously not known. Within the scope of the studies that resulted in this invention, it was possible to identify this cellular receptor. This identification turned out to be difficult, however. On the one hand, monoclonal antibody SC-1 reacts with its receptor only under quite specific stringency conditions in the Western-blot analysis. On the other hand, unspecific reactions that are caused by denaturation artifacts are found with a number of other proteins.

The cellular receptor of antibody SC-1 is an isoform of the protein CD55/DAF that is specific for tumor cells, especially for gastric carcinoma cells (Medof et al., J. Exp. Med. 160 (1984),

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1558-1578; Caras et al., Nature 325 (1987), 545-549; Bjorge et al., Int. J. Cancer 70 (1997), 14-25), which does not occur in normal tissue. The specific receptor properties of this isoform are based on a special glycostructure that is connected with the protein backbone via an N-linkage. The tumor-specific receptor can be used in a screening process for identifying specific binding partners. Specific partners for binding to the receptor are those substances within the meaning of this invention that bind selectively to a tumor-specific glycostructure but not significantly to a glycostructure of CD55/DAF that occurs in normal cells and preferably have the ability to induce apoptosis. These specific binding partners can be used for the production of therapeutic agents for inducing apoptosis and/or for combatting tumors as well as for the production of diagnostic agents.

The binding of antibody SC-1 to the tumor-specific N-linked glycostructure of the CD55/DAF protein induces a tyrosine phosphorylation of three proteins and the activation of caspase-3 and caspase-8. In addition, it was found that the apoptosis induced by antibody SC-1 leads to a transient increase of the presentation of tumor-specific N-glycosylated CD55/DAF on the surface of tumor cells. This increased presentation can be caused by an increased expression and/or by an increased glycosylation. The tumor-specific N-glycosylated CD55/DAF protein then disappears from the cell membrane by endocytosis. In addition, a cleavage of cytokeratin 18, an increased expression of c-myc and a reduction of the expression of topoisomerase II α and thus an at least partial cell cycle arrest

are observed. The apoptotic processes that are induced by SC-1 do not result in an increased cleavage of poly (ADP-ribose)-polymerase (PARP). In addition, an increase of the intracellular Ca^{2+} concentration, which is released from an intracellular Ca^{2+} pool, is found. An inhibition of the Ca^{2+} release inhibits the apoptosis that is induced by SC-1.

A first aspect of the invention relates to a glycoprotein that comprises at least one section of the amino acid primary structure of CD55/DAF, especially the membrane-bonded isoform DAF-B and a glycostructure that is specific for tumor cells, especially such a glycostructure that reacts with monoclonal antibody SC-1. In SDS-polyacrylamide-gel electrophoresis (under reducing conditions), such a glycoprotein that can be obtained from, for example, human adenocarcinoma cell line 23132 (DSM ACC 201) or from other human adenocarcinoma cell lines, such as 3051 (DSM ACC 270) or 2957 (DSM ACC 240) or from primary tumor cells of gastric adenocarcinoma patients has an apparent molecular weight of about 82 kD. In addition to this 82 kD of protein, the invention also relates to variants with deletions, insertions and/or substitutions in the amino acid primary structure, which, however, have a glycostructure that is analogous to the natural protein, i.e. tumor-specific and preferably reactive with antibody SC-1.

The glycoprotein according to the invention can be obtained by membrane preparations being produced from cells that express a protein with the desired glycostructure, e.g., from cells of human adenocarcinoma cell line 23132 or from other human

adenocarcinoma cell lines, and the glycoprotein is obtained from this by chromatographic processes, e.g., by size-exclusion and/or anion-exchange chromatography. The production of the membrane preparations is carried out preferably by lysis of cells in hypotonic buffer, ultrasound treatment and subsequent separation of the nuclei. The membrane preparations can be isolated from the remaining extract by centrifuging and further purified by chromatographic methods.

The tumor-specific CD55/DAF-glycoprotein can be used in a test process, in which the ability of a substance to bind to the tumor-specific glycoprotein, especially to its glycostructure, is determined. The test process can be automated as a high-throughput-screening process. In this respect, the glycoprotein can be used in isolated form, as a cell extract, in particular as a membrane preparation or in the form of complete cells, in particular of human adenocarcinoma cell line 23132 or another human adenocarcinoma cell line, or a heterologous eucaryotic cell that is transformed with the CD55 gene, e.g., a mammal cell, which is able to produce a protein with the correct glycostructure. As a control, the binding of the tested substance to a non-tumor CD55/DAF-glycoprotein can be examined, which can be obtained from normal human cells or cell lines. Substances that bind selectively to the tumor-specific glycoprotein are suitable for the production of therapeutic and/or diagnostic agents.

In addition, the ability of the tested substance to induce apoptosis, especially in tumor cells, and/or the ability to

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Climatic data	
Temperature (°C)	23.5
Relative humidity (%)	75.5
Wind speed (m/s)	1.5
Light intensity (μmol photons m ⁻² s ⁻¹)	150
CO ₂ concentration (ppm)	400
Soil moisture (%)	60
Soil pH	6.5
Soil N (g kg ⁻¹)	15
Soil P (g kg ⁻¹)	10
Soil K (g kg ⁻¹)	20
Soil Ca (g kg ⁻¹)	100
Soil Mg (g kg ⁻¹)	50
Soil S (g kg ⁻¹)	10
Soil Zn (g kg ⁻¹)	1
Soil Cu (g kg ⁻¹)	0.1
Soil Fe (g kg ⁻¹)	100
Soil Mn (g kg ⁻¹)	10
Soil B (g kg ⁻¹)	0.1
Soil Na (g kg ⁻¹)	10
Soil Cl (g kg ⁻¹)	1
Soil I (g kg ⁻¹)	0.1
Soil Br (g kg ⁻¹)	0.1
Soil Li (g kg ⁻¹)	0.1
Soil Sr (g kg ⁻¹)	0.1
Soil Ba (g kg ⁻¹)	0.1
Soil Pb (g kg ⁻¹)	0.1
Soil Cd (g kg ⁻¹)	0.1
Soil Cr (g kg ⁻¹)	0.1
Soil Co (g kg ⁻¹)	0.1
Soil Ni (g kg ⁻¹)	0.1
Soil As (g kg ⁻¹)	0.1
Soil Se (g kg ⁻¹)	0.1
Soil Mo (g kg ⁻¹)	0.1
Soil V (g kg ⁻¹)	0.1
Soil Sb (g kg ⁻¹)	0.1
Soil Sn (g kg ⁻¹)	0.1
Soil W (g kg ⁻¹)	0.1
Soil Bi (g kg ⁻¹)	0.1
Soil Te (g kg ⁻¹)	0.1
Soil Pt (g kg ⁻¹)	0.1
Soil Ag (g kg ⁻¹)	0.1
Soil Hg (g kg ⁻¹)	0.1
Soil Tl (g kg ⁻¹)	0.1
Soil Pb (g kg ⁻¹)	0.1
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Soil Ac (g kg ⁻¹)	0.1
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Soil Pa (g kg ⁻¹)	0.1
Soil U (g kg ⁻¹)	0.1
Soil Np (g kg ⁻¹)	0.1
Soil Pu (g kg ⁻¹)	0.1
Soil Am (g kg ⁻¹)	0.1
Soil Cm (g kg ⁻¹)	0.1
Soil Bk (g kg ⁻¹)	0.1
Soil Cf (g kg ⁻¹)	0.1
Soil Es (g kg ⁻¹)	0.1
Soil Fm (g kg ⁻¹)	0.1
Soil Md (g kg ⁻¹)	0.1
Soil No (g kg ⁻¹)	0.1
Soil Lr (g kg ⁻¹)	0.1
Soil Lu (g kg ⁻¹)	0.1
Soil Hf (g kg ⁻¹)	0.1
Soil Ta (g kg ⁻¹)	0.1
Soil W (g kg ⁻¹)	0.1
Soil Re (g kg ⁻¹)	0.1
Soil Os (g kg ⁻¹)	0.1
Soil Ir (g kg ⁻¹)	0.1
Soil Pt (g kg ⁻¹)	0.1
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Soil Cf (g kg ⁻¹)	0.1
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Soil Au (g kg ⁻¹)	0.1
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Soil Pb (g kg ⁻¹)	0.1
Soil Bi (g kg ⁻¹)	0.1
Soil Po (g kg ⁻¹)	0.1
Soil At (g kg ⁻¹)	0.1
Soil Rn (g kg ⁻¹)	0.1
Soil Fr (g kg ⁻¹)	0.1
Soil Ra (g kg ⁻¹)	0.1
Soil Ac (g kg ⁻¹)	0.1

Pharmacologically compatible substances are suitably tested. These include low-molecular pharmacological active ingredients, but especially peptides, peptide mimetic agents, antibodies, e.g., polyclonal, monoclonal or recombinant antibodies, antibody fragments or antibody derivatives. Other examples of ligands of the CD55/DAF receptor are aptamers (NexStar Pharmaceuticals, 2860 Wilderness Place, Boulder, Colorado 80301, USA) and spiegelmers (Noxxon Pharma, Gustav-Meyer-Allee 25, 13355 Berlin). Especially preferred, for example, are recombinant antibodies, such as, for example, single-chain scFv-antibodies, as they can be produced in, for example, bacteria cells such as, for example, E. coli (Plückthun, Bio/Technology 9 (1991), 545-551 and bibliographic references that are cited therein) or else in eucaryotic host

cells (Reff, Curr. Opinion Biotech. 4 (1993), 573-576 and Trill et al., Curr. Opinion Biotech 6 (1995), 553-560 or bibliographic references that are cited therein). In addition, human antibodies, i.e., antibodies with human constant domains, are preferred, as they are produced in the human body, e.g., of carcinoma patients, or chimera and humanized antibodies, in which originally present non-human constant domains and/or framework regions were exchanged by corresponding human areas. Examples of antibody fragments are Fab-, F(ab)₂- or Fab'-fragments, as they can be obtained by proteolytic cleavage of antibodies. The antibody derivatives include, for example, conjugates of antibodies with labeling groups and/or effector groups, for example toxic substances such as, for example, cholera toxin or pseudomonas Exotoxin A or radioactive substances.

Another aspect of the invention is the use of substances that bind specifically to tumor glycoprotein CD55/DAF according to the invention (with the exception of already known monoclonal antibody SC-1) for the production of the apoptosis-inducing agents and/or for the production of anti-tumor agents and/or for the production of agents for tumor diagnosis. A tumor-specific or tumor-selective binding within the context of this application preferably means that in immunohistochemical detection, a substance reacts with tumor cells but not significantly with other cells. An induction of the apoptosis within the context of this application means an increase of the apoptosis index, i.e., the proportion of apoptotic cells after treatment with the substance compared to the proliferating cells is higher than

without treatment, preferably higher than 50%. The spontaneous apoptosis index without treatment is significantly below 10%, whereby the detection of proliferating cells can be done with antigen Ki67.

Still another aspect of the invention is a process for the preparation of agents that induce apoptosis and/or anti-tumor agents and/or for the production of agents for tumor diagnosis, whereby a potentially active substance is tested on its ability for specific binding to a glycoprotein according to the invention, and in the case of a positive test result, the substance is converted into a form for dispensing that is suitable for pharmaceutical applications optionally together with commonly used adjuvants, additives and vehicles.

Suitable pharmaceutical forms for dispensing contain the active ingredient in a therapeutically effective quantity, especially in an anti-tumor-action quantity. The dose that is administered to a patient and the treatment time depend on the type and severity of the disease. Suitable dosages for the administration of antibodies are described in, for example, Ledermann et al. (Int. J. Cancer 47 (1991), 659-664) and Bagshaw et al. (Antibody, Immunoconjugates and Radiopharmaceuticals 4 (1991), 915-922).

The active ingredient can be administered alone or in combination with other active ingredients either simultaneously or sequentially. In addition to the active ingredient, the pharmaceutical composition can contain other pharmaceutically common substances. The composition can be administered, for

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example, orally, nasally, via a pulmonary pathway or by injection. Compositions that can be administered orally can be present in the form of tablets, capsules, powders or liquids. Compositions that can be administered by injection are usually in the form of a parenterally compatible aqueous solution or suspension.

In addition, the invention relates to a process for combatting tumors, whereby an anti-tumor-action quantity of a substance that can bind specifically to a glycoprotein according to the invention with the exception of monoclonal antibody SC-1 is administered to a patient, especially a human patient.

Binding partners for tumor-specific CD55/DAF proteins can also be used for diagnostic purposes, e.g., for tumor imaging. Suitable methods for tumor imaging are described in, e.g., Steinstraesser et al. (Clinical Diagnosis and Laboratory Medicine 2 ((1989), 1-11). In this respect, the binding partners are preferably used in the form of conjugates with labeling groups, e.g., radioactive or fluorescent labeling groups. As an alternative, the binding partners can also be incubated in unconjugated form with the sample that is to be tested, and then stained with a secondary binding reagent.

A subject of the invention is thus a process for the diagnosis of tumors, whereby a sample that is to be tested, e.g., a bodily fluid or a tissue sample, or a patient can be brought into contact with a substance that can be bonded to a tumor-specific CD55/DAF glycoprotein, and the presence, the

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localization and/or the quantity of the glycoprotein in the sample or in the patient can be detected.

The use of substances that specifically bind tumor glycoprotein CD55/DAF to trigger a phosphorylation cascade is also a subject of the invention. Still another subject of the invention is the use of substances that bind specifically to tumor glycoprotein CD55/DAF for transient increase of the presentation of tumor glycoprotein CD55/DAF to the cell surface, which can be caused by an increased glycosylation and/or expression. The tumor-specific glycoprotein then disappears from the cell surface. Still another subject of the invention is the use of substances that bind selectively to tumor glycoprotein CD55/DAF to increase the intracellular calcium level. Substances that bind specifically to tumor glycoprotein CD55/DAF can also be used as agents for cell cycle arrest. Finally, the invention also relates to the use of substances that bind specifically to tumor glycoprotein CD55/DAF to induce apoptotic processes that do not include any cleavage of PARP. The substance can optionally be used as conjugates with labeling groups and/or effector groups.

Still another subject of the invention is the use of substances that bind specifically to tumor glycoprotein CD55/DAF, especially antibody SC-1 for inducing apoptosis in dormant tumor cells. As far as the inventor knows, this finding is not known to date for any tumor-selective substance.

The substances that bind tumor-specific glycoprotein CD55/DAF preferably contain multiple, i.e. at least two, binding

sites for CD55/DAF. For example, the substances can contain three, four, five, six, seven, eight, nine, ten or more binding sites, so that a cross-linking is produced in binding to intracellular tumor-specific CD55/DAF. To obtain substances with multiple binding sites, binding molecules can optionally be cross-linked. The cross-linking can be carried out by, for example, chemical coupling with, e.g., bifunctional linker molecules or with highly affine interactions, e.g., streptavidin/biotin. Even if the CD55/DAF binding molecules are, for example, antibodies, e.g., IgG or IgM, that already contain several binding sites, an improvement of the apoptosis induction can be achieved by cross-linking with, e.g., anti-IgG or anti-IgM antibodies. The use of cross-linked antibodies is therefore preferred.

Cell line 23132 can be obtained from the Deutschen Sammlung für Mikroorganismen und Zellkulturen GmbH [German Collection of Microorganisms and Cell Cultures GmbH], Braunschweig [Brunswick], under file number DSM ACC 201.

In addition, the invention is explained by the examples and figures below. Here:

- Figure 1 shows: the identification of antigens that are reactive with antibody SC-1.
- a. Purification of SC-1 antigens from membrane extracts of gastric carcinoma cell line 23132.
 - b. Sequencing of an 82 kD protein that is identified as an SC-1 antigen by

nanoelectrospray-tandem-mass
spectroscopy.

Figure 2 shows: the influence of a cleavage of GPI anchors by phosphatidylinositol-specific phospholipase C (PI-PLC) on a staining with SC-1. Untreated gastric carcinoma cells of cell line 23132 stained with SC-1 (a) and anti-EMA (c); cells that are treated with PI-PLC and stained with SC-1 (b) and anti-EMA (d) (400 x magnification).

Figure 3 shows: the result of an MTT test with antibody SC-1 in gastric carcinoma cells. Control: untreated cells; SC-1: cells treated with SC-1; SC-1, PIPLC: cells treated with phospholipase and then with SC-1.

Figure 4 shows: the result of an analysis of transient transfected cells with a CD55-antisense vector. Cells that were transfected with a control vector show a normal staining pattern with SC-1 (a) and anti-CEA (c). In cells that are transfected with the antisense vector, the staining with SC-1 is reduced (b), while no change in the staining with anti-CEA (d) can be detected.

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Figure 5 shows: the result of a Klenow fragmentation test. Transfixed cells show no apoptosis without induction with SC-1 (e) in comparison to a positive control (f). After incubation with SC-1, the cells that are transfixed with the control vector indicate apoptosis (g), while the majority of the cells that are transfixed with the CD55 antisense vector are resistant to apoptosis (h).

Figure 6 shows: a quantitative determination of the apoptosis that is induced by SC-1. Cells that were transfixed with the control and the CD-55 antisense vector were incubated with SC-1, and cytopins of these cells were stained with the Klenow DNA fragmentation kit. The percentages of apoptotic cells were determined by two different individuals by counting apoptosis-positive and negative cells in three different fields with about 500 cells in each case.

Figure 7 shows: the action of a deglycosylation on the binding of antibody SC-1.

a: Tumor cells incubated with buffer and stained with SC-1;

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- b: cells incubated with N-glycosidase and with SC-1;
- c: cells incubated with buffer and anti-CD55 and
- d: tumor cells incubated with N-glycosidase and anti-CD55.

Figure 8 shows: the result of an MTT test with SC-1 in gastric carcinoma cell line 23132.

- a: Titration of SC-1;
- b: Cross-linking of SC-1 with rabbit-anti-human-IgM-antibodies;

Figure 9 shows: the change in intracellular calcium concentration after induction of cell line 23132 with SC-1. At point 1, the addition of SC-1 or control antibodies is carried out. At point 2, the cells were washed with Ringer's solution.

Figure 10 shows: the expression and activity patterns of caspase-3 and caspase-8 after induction with SC-1.

- a. Western-blot analysis of caspase-3 and caspase-8. The activation of caspase-3 based on proteolytic cleavage can be detected by the production of the p20 cleavage product.

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- b. The result of an activity determination of caspase-8. A four-fold increase of caspase-8 activity was found 20 hours after apoptosis was induced.

Figure 11 shows: the phosphorylation pattern of cell line 23132 after apoptosis is induced.

- a: A quick phosphorylation of tyrosine radicals in proteins with molecular weights of about 110 kD and 60 kD as well as the dephosphorylation of a serine radical in a protein with about 35 kD was found after apoptosis was induced with SC-1.
- b: An increase of phosphorylation of a tyrosine radical in a 75 kD protein with a maximum after 10 minutes was found after apoptosis was induced.

Figure 12 shows: an expression and mutation analysis of p53.

- a: 5 minutes after apoptosis was induced by SC-1, a significant increase of the mRNA concentration was found, while the high p53 protein concentrations remain unchanged.

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b: A sequential analysis of p53 showed a mutation in codon 273, which results in an amino acid exchange from Arg to His.

Figure 13 shows: an expression analysis of p21.
After apoptosis is induced, an increase of the p21 mRNA concentration was found.

Figure 14 shows: a Western-blot analysis of SC-1-induced cells.

- a: CD55/DAF expression (staining with SC-1)
- b: cleavage of PARP (staining with anti-PARP-antibodies)
- c: staining with anti-topoisomerase II α -antibody as a marker for cellular proliferation
- d: c-myc expression (staining with anti-c-myc-antibody)

Figure 15: the action of the caspase-3 inhibitor Ac-DEVD-CHO on the SC-1-induced apoptosis.

Figure 16: the detection of a tumor cell-specific apoptosis by in-situ nucleus staining produced by administration of antibody SC-1 on a primary tumor.

Figure 17: the action of the administration of antibody SC-1 on a primary tumor.

- a: Biopsy sample before administration of SC-1 (in situ staining for apoptosis)
- b: primary tumor after administration of SC-1 (in situ staining for apoptosis)
- c: biopsy before administration of SC-1 (histological regression analysis)
- d: primary tumor after administration of SC-1 (histological regression analysis).

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Examples

1. Material and Methods

1.1 Cell Culture

For all tests, the established gastric-adenocarcinoma cell line 23132 was used (Vollmers et al., Virchows Arch. B. Zell. Pathol. Incl. Mol. Pathol. 63 (1993), 335-343). The cells were cultivated in RPMI-1640 with 10% fetal calf serum and penicillin/streptomycin (both 1%) until a subconfluence occurred. For the described test process, cells were dissolved with trypsin/EDTA and washed twice with phosphate-buffered salt solution (PBS) before use. Human hybridoma cell line SC-1 was produced and cultivated as described in Vollmers et al. (Cancer Res. 49 (1989), 2471-2476).

1.2 Purification of Antibody SC-1

Human monoclonal antibody SC-1 was purified from mass cultures with use of cation-exchange chromatography followed by gel filtration, as described in Vollmers et al. (Oncology Reports 5 (1998), 35-40).

1.3 Purification of the SC-1 Receptor

For preparation of membrane proteins, harvested cells in hypotonic buffer (20 mmol of HEPES, 3 mmol of KCl, 3 mmol of $MgCl_2$) were resuspended, incubated for 15 minutes on ice and ensonified for 5 minutes. The nuclei were pelletized by centrifuging (10,000 g, 10 minutes). The membranes were

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pelletized by centrifuging (30 minutes, 100,000 g) and resuspended in membrane lysis buffer (50 mmol of HEPES, pH 7.4, 0.1 mmol of EDTA, 1 M of NaCl, 10% glycerol and 1% Triton X-100). Complete^(R) protease inhibitor (Boehringer Mannheim, Germany) was added to all solutions.

The purification of the antigens was carried out by column chromatography with use of an FPLC unit (Pharmacia, Freiburg, Germany). For size-exclusion chromatography, a Pharmacia Superdex 200 column (XK 16/60) was loaded with 5 mg of membrane protein preparation in buffer A (100 mmol of Tris HCl, pH 7.5, 2 mmol of EDTA, 40 mmol of NaCl, 1% Triton X-100). The column eluate was fractionated and studied in a Western-blot analysis in a reaction with antibody SC-1. Positive fractions were loaded on a monoQ-column with use of buffer A. The bonded proteins were fractionated with a linear gradient with use of buffer B (100 mmol of tris-HCl, pH 7.5, 1 M of NaCl, 2 mmol of EDTA, 1% Triton X100) and studied by SDS-polyacrylamide-gel electrophoresis and staining with Coomassie or Western-blot analysis. Positive strips were cut out from the gel and sequenced.

1.4 Preparation of Cell Lysates after Induction with SC-1

Cell line 23132 was cultivated in 100 mm cell culture dishes until a subconfluence occurred. Antibody SC-1 was added in a final concentration of 30 µg/ml for the time period indicated in each case. Then, the culture plates were washed once with PBS, and the cells were lysed directly with SDS buffer (50 mmol of tris-HCl, pH 6.8, 10 mmol of dithiothreitol, 2% (w/v) SDS, 10%

(v/v) glycerol). The cell residues were collected with a rubber scraper.

1.5 Gel Electrophoresis and Blots

The SDS-polyacrylamide-gel electrophoresis under reducing conditions and the Western-blotting of proteins were performed with use of standard protocols as described in Vollmers et al. (Cancer 79 (1997), 433-440). Nitrocellulose membranes were blocked with PBS with the addition of 0.1% Tween-20 and 2% skim milk powder or 3% bovine serum albumin (for determination of phosphorylation) and then incubated for one hour with the primary antibody. The antibodies were used in the following dilutions: SC-1 (human) 10 μ g/ml or 15 μ g/ml; anti-caspase-3 or -8 (goat) (Santa Cruz, Heidelberg, Germany) 5 μ g/ml, streptavidin anti-phosphotyrosine conjugate (clone PT-66) 1:20,000 and streptavidin anti-phosphoserine conjugate (clone PSR-45) 1:30,000 (Sigma, Munich, Germany), mouse-anti-topoisomerase II α -antibody 1:1,000 (Neomarkers, Baesweiler, Germany), anti-c-myc-antibody 1:1,000 (Santa Cruz, Heidelberg, Germany) and anti-PARP-antibody 1:1,000 (Pharmingen, Heidelberg, Germany). The secondary antibody peroxidase-rabbit-anti-human-IgM conjugate or rabbit-anti-goat-antibody (Dianova, Hamburg, Germany) and peroxidase-conjugated extravidin (Sigma) were detected with the SuperSignal Chemiluminescence Kit of Pierce (KMF, St. Augustin, Germany).

1.6 Protein Sequencing

A protein strip with an apparent molecular weight of 82 kD was isolated by one-dimensional polyacrylamide gel electrophoreses and made visible by staining with Coomassie. The p82-strip was cleaved in the gel with trypsin (Boehringer Mannheim, non-modified, sequencing quality) as described in Shevchenko et al., (Anal. Chem. 68 (1996), 850-858). The non-separated pool of tryptic peptides was sequenced by nanoelectrospray-tandem-mass spectrometry as described by Wilm et al. (Nature 379 (1996), 466-469). The sequencing was carried out on an API III Triple Quadrupol Mass Spectrometer (PE Sciex, Ontario, Canada). The sequences of the peptide fragments were assembled with use of the tandem-mass spectrometric data and categorized in the respective proteins by data bank research.

1.7 RT-PCR

The cDNA synthesis of the entire RNA of tumor cells 23132 was carried out with 5 µg of total RNA with use of M-MLV reverse transcriptase (Gibco BRL, Eggenstein, Germany) according to the information of the manufacturer. The PCR reactions were performed in a reaction volume of 25 µl with 1.75 mmol of MgCl₂, 0.4 pM of primer, 200 µM of each dNTP and 1 U of Taq polymerase (MBI Fermentas, St. Leon-Rot, Germany).

The following PCR products were produced:

CD55 (640 bp fragment from the sequence range of bp 382 to 1022), p53 fragment 1 (850 bp fragment from the sequence range of

91 to 940), p53-fragment 2 (800 bp from the sequence range of 492 to 1294).

1.8 Cloning Procedures

The PCR products were purified from an agarose gel with use of the Jetsorb gel-extraction kit (Genomed, Bad Oeynhausen, Germany). The cloning of the PCR fragments was carried out with the pCR script Amp SK (+) cloning kit (Stratagene, Heidelberg, Germany).

The cloning of the antisense vector pHOOK2-CD55-anti was carried out by smoothing of the CD55-PCR product with Pfu-polymerase and cloning in the expression vector pHOOK2 that is cut with SmaI (Invitrogen, Leek, The Netherlands). A clone with antisense direction of the insertion under control of the P_{CMV} promoter was selected for the antisense experiment.

1.9 DNA Sequencing

Eight positive clones were sequenced with use of the DyeDeoxy Termination Cycle Sequencing Kit (Applied BioSystems, Inc., Weiterstadt, Germany), and the automated DNA sequencer ABIPrism 373 was analyzed. Both strands were sequenced with use of T3 and T7 primers. The sequences were analyzed with use of the computer program DNASIS and BLAST.

1.10 Transfection

For transfection experiments, 2.5×10^7 dissolved cells in tris-buffered salt solution (TBS) were washed and resuspended in

400 μ l of TBS. After 10 μ g of plasmid DNA was added, the cells were pulsed with 240 V, 960 nF with an electroporation device of BioRad (Munich, Germany). 5×10^5 transfected cells were saturated on a 60 mm cell culture dish and incubated for 24 hours as described above. The apoptosis was induced by adding 50 μ g/ml of purified SC-1 antibody to the growth medium. After 24 hours, the cells were treated with trypsin and used for the production of cytopins.

1.11 Phospholipase Test

Dissolved and deleted cells were resuspended in RPMI-1640 with additives and incubated for 90 minutes at 37°C. After this rest period, 20 mU/ml of PI-PLC (Boehringer Mannheim) was added, and the cells were incubated for another 60 minutes. Finally, the cells were washed and used for the production of cytopins.

1.12 Glycosidase Test

Dissolved and washed cells were resuspended in RPMI-1640 with 10% fetal calf serum, incubated for 1 hour in ice, then counted, and cytopins were produced. After air drying, the cytospin preparations were fixed with acetone (10 minutes), washed and incubated with 20 μ U/ml of O-glycosidase or 5 mU/ml of N-glycosidase (Boehringer Mannheim) for 4 hours at 37°C.

1.13 Immunohistochemical Staining

The following antibodies were used for the immunohistochemical staining: purified antibody SC-1, anti-CEA-

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antibody (DAKO, Hamburg, Germany), Anti-EMA-antibody (Loxo, Dossenheim, Germany) and anti-CD55-antibody (Biozol, Eiching, Germany). The acetone setting and staining of the cytopsin preparations were carried out as described by Vollmers et al. (Hum. Antibodies Hybridomas 7 (1996), 37-41).

For immunohistochemical staining of apoptotic cells, cells that were cultivated until subconfluence occurred were incubated with purified antibody SC-1 (diluted to 50 µg/ml) in full growth medium for up to 96 hours. Adherent and dissolved cells were collected, centrifuged and resuspended in complete growth medium. After cells were counted, cytopsin preparations were produced and dried overnight at room temperature. In studying the cleavage of cytokeratin 18 in vivo, biopsies were taken from patients before treatment with SC-1 and tissue sections after treatment and gastrectomy as described in Vollmers et al., (Oncol. Rep. 5 (1998), 549-552).

The cytopsins were blocked with bovine serum albumin (15 mg/ml) in phosphate-buffered salt solution (PBS) for 30 minutes. Then, incubation was carried out for 1 hour with SC-1 supernatant, M30 cyto death-antibody (Roche Biochemicals, Mannheim, Germany) or mouse-anti-cytokeratin 18 antibody (DAKO, Hamburg, Germany) diluted at 1:15. Then, it was washed for 30 minutes in PBS, followed by incubation with peroxidase-labeled rabbit-anti-mouse or rabbit-anti-human conjugate (DAKO), diluted at 1:25. After 30 minutes of washing with PBS, staining was carried out with diaminobenzidine (0.05%) and hydrogen peroxide (0.02%) for 3 minutes at room temperature. The reaction was

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stopped with tap water, and the tissue sections were counterstained with hematoxylin.

1.14 Apoptosis Tests

Cytospin preparations (5,000 cells/slides) were fixed in acetone and then washed with TBS. Then, they were stained with the FragE1-Klenow DNA-Fragmentation Kit (Calbiochem-Novabiochem, Bad Soden, Germany) according to manufacturer information.

An ELISA for detection of apoptosis was performed with use of the Cell Death Detection^(R) Kit (Roche Biochemicals) according to the manufacturer's instructions.

1.15 MTT Test

The MTT proliferation test (Carmichael et al., Cancer Res. 47 (1987), 936-942) for determining the apoptosis activity of antibody SC-1 on gastric carcinoma cells was performed as described in Vollmers et al. (Cancer 76 (1995), 550-558). The determination of cell growth was carried out by the mitochondrial hydroxylase test (Mossmann, J. Immunol. Meth. 65 (1983), 55-63). The percentage portion of apoptotic cells was determined from the absorption of the cells that were induced with SC-1 and the control that was not induced with SC-1 (Vercammen et al., J. Exp. Med. 188 (1998), 919-930).

1.16 Caspase-3 and Caspase-8 Tests

The activation of caspase-8 and caspase-3 was determined with the ApoAlertTM Caspase Fluorescence Test Kit (Clontech,

Heidelberg, Germany). In this connection, 1×10^6 cells with 40 $\mu\text{g/ml}$ of SC-1 were incubated for 7 or 20 hours. Then, the cells were collected, resuspended in cell-lysis buffer, and the caspase activity was determined according to manufacturer information.

1.17 Determination of Intracellular Free Calcium $[\text{Ca}^{2+}]$

The determination of the intracellular free calcium concentration was determined with use of the calcium-sensitive dye Fura-2-AM as described by Grykiewicz et al. (J. Biol. Chem. 260 (1985), 3440-3450). In this connection, the cells were incubated for 15 minutes with a Fura-2-AM in Ringer's solution that contains a final concentration of 5×10^{-6} M (122.5 mmol of NaCl, 5.4 mmol of KCl, 1.2 mmol of CaCl_2 , 0.8 mmol of MgCl_2 , 1 mmol of NaH_2PO_4 , 5.5 mmol of glucose, 10 mmol of HEPES, pH 7.4). After flushing, the slides were examined with an Axiovert 100 TV microscope (400-fold magnification). The fluorescence signal was measured at 500 nm with excitation wavelengths that alternate between 334 and 380 nm with use of a 100-W xenon lamp and an automatic filter changing device (Zeiss, Germany). The concentration of intracellular free calcium was calculated according to the method of Grynkiewicz et al. (supra) with the assumption of a dissociation constant of 225 nmol/l. The maximum and minimum fluorescence ratios (R_{max} and R_{min}) were measured after calibrating solutions were added. R_{max} was determined after a Ringer's solution with 3 mmol Ca^{2+} and 10^{-6} M of ionomycin was added. R_{min} was determined in the presence of a Ca^{2+} -free Ringer's solution with 3 mmol of EGTA and 10^{-6} M of ionomycin.

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1.18 Inhibition of Intracellular Calcium Release

Cells were washed once with phosphate-buffered salt solution and washed for 24 hours in calcium-free DMEM medium without fetal calf serum (FCS). Then, purified SC-1 antibody was added until a final concentration of 40 $\mu\text{g/ml}$ was reached. As a control, the same cells were used without SC-1. The cells were incubated in a wet incubator for another 24 or 48 hours and then fixed with 3% glutaric aldehyde. The cell culture plates were then examined for morphological changes with the aid of a light microscope.

2. Results

2.1 Purification of the SC-1-Receptor CD55

In Western-blot analysis of extracts from total cell lysates of gastric carcinoma cell line 23132, which had been produced under low-salt conditions (100 mmol of NaCl), antibody SC-1 reacted with a protein with a relative molecular mass of about 50 kD. By altering the stringency (1 M of NaCl) and with use of membrane preparations, it was possible to detect other proteins with approximately 70 kD and approximately 82 kD (Figure 1a, trace 1). These proteins were isolated from the membrane fractions and purified by sequential size-exclusion and anion-exchange chromatography (Figure 1a, traces 2, 3). The molecules were cut out from SDS-polyacrylamide gels and sequenced.

The 50 kD protein was identified as a dihydrolipoamide-succinyltransferase (gene bank access no. L37418), and the 70 kD protein was identified as the human Lupus p70 auto-antigen protein (gene bank access no. J04611). These proteins are

cytoplasmatic or nuclear antigens. Since antibody SC-1 in immunohistochemical studies binds only to cell surface antigens, the reactivity can presumably be attributed to unspecific binding based on the protein denaturation during the Western-blot analysis.

The 82 kD protein was identified as CD55 (DAF, gene bank access no. M31516, Figure 1b, sections 1 and 2). In humans, CD55 exists in two genetically specified isoforms (secreted DAF-A and membrane-bonded DAF-B), which are produced by differential splicing (Caras et al., Nature 325 (1987), 545-549). It was found by RT-PCR analysis that cell line 23132 expresses only the membrane-anchored DAF-B isoform.

2.2 Phospholipase Treatment

The influence of a cleavage of the glycosidphosphatidyl-inositol (GPI)-anchor on the bond of SC-1 was analyzed by immunohistochemical studies and in the MTT-proliferation test. In this connection, the GPI-anchor was cleaved by incubation with phosphatidylinositol-specific phospholipase C (PI-PLC). Cytospins of cells that were treated with PI-PLC and untreated cells were stained immunohistochemically with SC-1, anti-CD55 and anti-EMA (epithelial-membrane-antigen). A comparison with untreated cells (Figure 2a) shows a loss in staining intensity in cells that are treated with PI-PLC and stained with SC-1 (Figure 2b). In the case of staining with anti-EMA (Figure 2c, d), no difference in staining was found, which indicates that the PI-PLC treatment has no effect on non-GPI-anchored membrane proteins.

In the MTT test, a treatment of cells with phospholipase C resulted in a significant reduction ($p \leq 0.05$) of the apoptotic cells (Figure 3).

2.3 Transfection with Antisense-CD55 RNA

Cell line 23132 was transiently transfected with the CD55 antisense-vector pHOOK2-CD55anti and the control vector pHOOK2 by electroporation. First, cytopins of transfected cells were immunohistochemically stained with SC-1, anti-CD55 and anti-CEA (carcino-embryonal antigen). The cells that were transfected with the control vector showed an intensive staining with SC-1 and CEA (Figure 4a, c). In cells that were stained with the CD55 antisense vector, almost no staining with SC-1 was found (Figure 4b). The staining with anti-CEA-antibodies showed that the expression pattern of the CEA (also GPI-anchored) is not affected by the transfection with the antisense vector. Consequently, the expression of CD55 was reduced specifically based on the expression of the antisense RNA.

To analyze whether the expression of antisense-CD55 RNA also inhibits the SC-1 induced apoptosis, the cells were incubated for one day after the transfection with and without 30 $\mu\text{g/ml}$ of SC-1 for a period of 24 hours. Cytopins of cells that were transfected with the antisense vector and the control vector were stained with the FragE1 Klenow DNA Fragmentation Kit for the detection of a DNA-fragmentation induced by apoptosis. While untransfected cells that are treated with two plasmids show almost no spontaneous apoptosis (Figure 5e), a considerable reduction in

the apoptosis of cells that are transfected with the CD55 antisense vector (Figure 5g) in comparison to cells that are transfected with the control vector (Figure 5h) is found after incubation with SC-1.

A quantitative determination showed that spontaneous apoptosis in transfected 23132 cells occurred with a frequency of 6%, while 85% of the cells that were transfected with the control vector showed an apoptosis after incubation with SC-1. This apoptotic reaction was reduced to 21% by transfection with the CD55 antisense vector (Figure 6).

2.4 Glycosidase Treatment

The influence of a protein deglycosylation on the bond of SC-1 to cell line 23132 was studied by incubation of cytospin preparations with O- and N-glycosidases before the immunohistochemical staining. A treatment of cells with N-glycosidase resulted in a significant reduction of the SC-1 staining (Figure 7b), while a staining with anti-CD55, which detects the proportion of protein in the SCR3 region, was not influenced by protein deglycosylation (Figure 7d). Incubation with phosphate buffer and a treatment with O-glycosidase had no effect on the SC-1 bond. This shows that the specificity of SC-1 must be located in N-linked sugar radicals and not in the primary protein sequence.

2.5 Cross-linking of CD55/SC-1

The cells were incubated for 24 hours with increasing quantities of SC-1 to determine the optimum apoptotic activity of SC-1 (Figure 8a). Then, crosslinking was carried out at a concentration of 40 μ g/ml of SC-1 with rabbit-anti-human IgM. After incubation for 48 hours, a 47% higher portion of dead cells than in the control cells that are incubated with SC-1 was found (Figure 8b).

2.6 Calcium Level

To examine whether the apoptosis that is induced by SC-1 is accompanied by changes of the calcium level, the intracellular calcium concentration of cell line 23132 was determined after induction with SC-1 and control antibodies (unspecific human IgM). In this case, a significant increase of the intracellular calcium concentration was found approximately 1 minute after SC-1 antibody was added, while the control antibody had no effect (Figure 9).

2.7 Caspase Activity

It was found by Western-blot analysis that caspase-3 and caspase-8 are regulated upward after induction of cell line 23132 with SC-1 (Figure 10a). A proteolytic cleavage that causes the activation of caspases was detected for caspase-3 by identifying cleavage product p20 (Figure 10a). In caspase-8, a four-fold increase of the activity was found 20 hours after induction with

SC-1, which indicates a significant participation of this caspase in the apoptosis process (Figure 10b).

The addition of the specific caspase-3 inhibitor AC-DEVD-CHO (Alexis Biochemicals, Grünberg, Germany) showed, surprisingly enough with increasing concentration, an increase of apoptosis in the case of determination with the Cell Death Detection^(R) Kit (Figure 15).

2.8 Protein Phosphorylation

After induction of cells with 40 µg/ml of SC-1 antibodies, the phosphorylation pattern was examined by Western-blot analysis of cytoplasmatic and membrane extracts. In this case, an early tyrosine phosphorylation of 110 kD and 60 kD of proteins was found 30 to 60 seconds after apoptosis was induced (Figure 11). The 60 kD protein was found only in the cytoplasm, while the 110 kD protein could be detected both in the plasma and in the membrane extract. In addition, a slow tyrosine phosphorylation of a cytoplasmatic 75 kD protein with a maximum was found after 10 minutes, and the complete disappearance of the serine phosphorylation of a 35 kD protein was found 10 minutes after induction.

2.9 Expression and Sequencing of p53

To study the role of p53 in the case of SC-1-induced apoptosis, the frequency of the mRNA by RT-PCR and the gene product was determined by Western-blot analysis after induction. In this case, a considerable increase of the mRNA concentration

was found. On the protein plane, however, a constant and not significantly altered high concentration of the p53 gene product was found (Figure 12a).

The DNA-sequence of p53 in cell line 23132 was determined by amplification of two p53 fragments of cDNA with specific primers, cloning of the PCR-fragments and sequencing of eight clones. All clones with insertions spanning Exon 8 showed a mutation in codon 273, which resulted in an amino acid exchange of arginine to histidine (Figure 12b). This is a dominant negative mutation, which frequently occurs in gastric adenocarcinomas.

2.10 Expression of p21

Protein p21 is a molecule that is associated with the expression of p53. A test of the expression of p21 in gastric carcinoma cell line 23132 after treatment with SC-1 yielded an increase after 5 minutes followed by a reduction after 60 minutes (Figure 13).

2.11 Expression of CD55/DAF after Apoptosis is Induced

The expression pattern of CD55/DAF was studied after apoptosis was induced by 50 μ g/ml of SC-1 using immunohistochemical staining of cytospin preparations with antibody SC-1. While non-induced cells exhibit a slight membrane staining with antibody SC-1, cells that were induced with SC-1 showed intensive membrane staining 12 hours after apoptosis was induced. This indicates an increase of the CD55/DAF presentation on the cell surface after the antibody is bonded to the cells.

This membrane staining disappears after 48 hours, and a diffuse cytoplasmatic staining can be detected. This staining is also found with reduced intensity after 96 hours. The increase in the CD55/DAF expression was also found in a Western Blot analysis with membrane extracts of apoptotic cells after SC-1 induction. While CD55/DAF cannot be detected in non-induced cells, the CD55/DAF expression increases 1 hour to 6 hours after induction. After 24 hours, the expression of CD55/DAF decreases, but it is always still higher than in non-induced cells (Figure 14a).

2.12 Cleavage of Cytokeratin 18

The degradation of apoptotic cells accompanies the proteolytic cleavage of cytokeratin 18. The cleavage of cytokeratin 18 in cell line 23132 after SC-1-induced apoptosis and in primary tumors of patients who had been treated with 20 mg of SC-1 for 48 hours before a tumor resection was studied. An M30 cyto death staining showed a small quantity of apoptotic cells without inducing apoptosis, while the number of apoptotic cells increased up to 96 hours.

2.13 Molecular Analysis of SC-1-Apoptosis

Consistent with the immunohistochemical staining, the biochemical analysis showed an increase of the CD55/DAF molecule, followed by a slight reduction after 24 hours of incubation with SC-1 (Figure 14a). The cleavage of PARP was studied by Western Blot analysis with use of total cell extracts from SC-1-induced cells and murine anti-PARP antibodies. In five independent

experiments, no cleavage of PARP was found that would be detected by the occurrence of an 85 kD cleavage product (Lazebnik et al., Nature 371 (1994), 346-347) (Figure 14b).

To study changes in the cell cycle after apoptosis is induced, the expression of topoisomerase II α by Western Blot analysis was determined. Topoisomerase II α is a key enzyme in the cell cycle, which is involved in DNA replication (Watt and Hickson, Biochem. J. 303 (1994), 681-695). The reduced expression of topoisomerase II α according to SC-1-induced apoptosis therefore shows a cell cycle arrest for at least one portion of cells (Figure 14c).

Transcription factor c-myc is involved in various apoptotic processes and can induce an apoptosis by transfection in cells (Evan et al., Cell 69 (1992), 119-128). A study of the expression pattern of c-myc after SC-1-induced apoptosis showed an increased expression 15 minutes after apoptosis was induced followed by a reduction after 4 hours (Figure 14d).

2.14 Action of a Reduction of the Extracellular and Intracellular Calcium Concentration in Apoptosis

It was examined whether Ca²⁺ ions from the cell culture medium are taken up or are released from intracellular Ca²⁺ reservoirs. To determine whether Ca²⁺ is taken up from the culture medium, the cells were incubated for 24 hours in serum-free and Ca²⁺-free DMEM medium. Then, purified SC-1-antibody was added at a final concentration of 40 μ g/ml and incubated for another 24 hours. The cells were then fixed in 3% glutaric

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aldehyde and studied in a rotated light microscope. Compared to control cells (not induced with SC-1), SC-1-induced cells showed morphological changes characteristic of an apoptosis and comparable with cells that would have been incubated with SC-1 in RPMI medium with the addition of 10% FCS.

The effect of Ca^{2+} from intracellular Ca^{2+} reservoirs was studied by incubation of cells (cultivated in serum-free DMEM medium) for 5 hours with 50 μM of the cell-permeable chelating agent BAPTA (Alexis Biochemicals, Grünberg, Germany). The cells were incubated for 24 hours with 40 $\mu\text{g/ml}$ of purified SC-1. No detectable changes could be observed in the cell morphology, which indicates that no apoptosis was induced. An inhibition of the apoptosis produced by BAPTA could also be found by ELISA.

2.15 Detection of Apoptosis in Primary Tumors

The administration of antibody SC-1 to patients with stomach cancer resulted in a clearly detectable tumor-cell-specific apoptosis, as was detected by in-situ nucleus staining (Figure 16). While no apoptosis (Figure 17a) or the presence of an intact tumor without regression (Figure 17c) was found in tumor biopsies that were taken before SC-1 was administered, the primary tumor showed strong apoptosis (Figure 17b) or a strong regression (Figure 17d) after SC-1 was administered.

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Claims

1. Glycoprotein that comprises at least one section of the amino acid primary structure of CD55 and a tumor-specific glycostructure.
2. Glycoprotein according to claim 1, characterized in that the glycostructure reacts with monoclonal antibody SC-1.
3. Glycoprotein according to claim 1 or 2, wherein in SDS-polyacrylamide-gel electrophoresis, it exhibits an apparent molecular weight of 82 kD.
4. Process for obtaining a glycoprotein according to one of claims 1 to 3, wherein membrane preparations are produced from cells of the human adenocarcinoma cell line 23132, and the glycoprotein is obtained therefrom by size-exclusion and/or anion-exchange chromatography.
5. Use of a glycoprotein according to one of claims 1 to 3 in a test process in which the ability of a substance to bind to the glycoprotein is determined.
6. Use according to claim 5, wherein the ability to bind to the glycostructure is determined.
7. Use according to claim 5 or 6, wherein the ability of the tested substance to induce apoptosis, especially in tumor cells, is determined.
8. Use according to one of claims 5 to 7, wherein the ability of the tested substance to induce a phosphorylation cascade that is mediated by glycoprotein CD55 is determined.
9. Use according to claims 5 to 8, wherein the glycoprotein is used in isolated form, as a cell extract, especially as a

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membrane preparation or in the form of complete cells, especially of human adenocarcinoma cell line 23132.

10. Use according to one of claims 5 to 9 for identifying substances that bind specifically to tumor cells.

11. Use according to claim 10 for identifying agents for tumor diagnosis and/or tumor therapy.

12. Use according to one of claims 5 to 11, wherein the pharmacologically compatible substances are tested.

13. Use according to claim 12, wherein the tested substances are selected from peptides, peptide mimetic agents, antibodies, antibody fragments and antibody derivatives.

14. Use of substances that bind specifically to a glycoprotein according to one of claims 1 to 3, with the exception of the monoclonal antibody SC-1, for the production of agents that induce apoptosis.

15. Use of substances that bind specifically to a glycoprotein according to one of claims 1 to 3, with the exception of monoclonal antibody SC-1, for the production of anti-tumor agents.

16. Use of substances that bind specifically to a glycoprotein according to one of claims 1 to 3, with the exception of monoclonal antibody SC-1, for the production of agents for tumor diagnosis.

17. Process for the preparation of the agents that induce apoptosis, wherein a potentially active substance is tested on its ability for specific binding to a glycoprotein according to one of claims 1 to 3 and in the case of a positive test result,

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the substance is converted into a form for dispensing that is suitable for pharmaceutical applications optionally together with commonly used adjuvants, additives and vehicles.

18. Process for the preparation of anti-tumor agents, wherein a potentially active substance is tested on its ability for specific binding to a glycoprotein according to one of claims 1 to 3 and in the case of a positive test result, the substance is converted into a form for dispensing that is suitable for pharmaceutical applications optionally together with commonly used adjuvants, additives and vehicles.

19. Process for combatting tumors, wherein an anti-tumor-action quantity of a substance that can bind specifically to a glycoprotein according to one of claims 1 to 3, with the exception of monoclonal antibody SC-1, is administered to a patient.

20. Process for diagnosis of tumors, wherein a sample that is to be tested or a patient who is to be tested is brought into contact with a substance that can bind specifically to a glycoprotein according to one of claims 1 to 3, and the presence, the localization and/or the quantity of the glycoprotein in the sample or in the patient is detected.

21. Use of substances that specifically bind a glycoprotein according to one of claims 1 to 3 to trigger a phosphorylation cascade in tumor cells.

22. Use of substances that bind specifically to a glycoprotein according to one of claims 1 to 3 for transient

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increase of the CD55/DAF presentation in membranes of tumor cells.

23. Use of substances that specifically bind a glycoprotein according to one of claims 1 to 3 for inducing apoptotic processes that do not comprise any cleavage of poly(ADP-ribose)-polymerase (PARP).

24. Use of substances that specifically bind a glycoprotein according to one of claims 1 to 3 for inducing a cell cycle arrest in tumor cells.

25. Use of substances that bind specifically to a glycoprotein according to one of claims 1 to 3 for inducing apoptosis in dormant tumor cells.

26. Use according to one of claims 21 to 25, wherein the specifically bindable substance comprises antibody SC-1.

27. Use according to one of claims 21 to 26, wherein the substances are used in the form of conjugates with labeling or effector groups.

28. Use according to one of claims 21 to 27, wherein the substances have multiple binding sites for a glycoprotein according to one of claims 1 to 3.

29. Use according to claim 28, wherein the specifically bindable substances are cross-linked.

Abstract

The invention relates to a substance and a process for obtaining anti-tumor agents.

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Figure 1

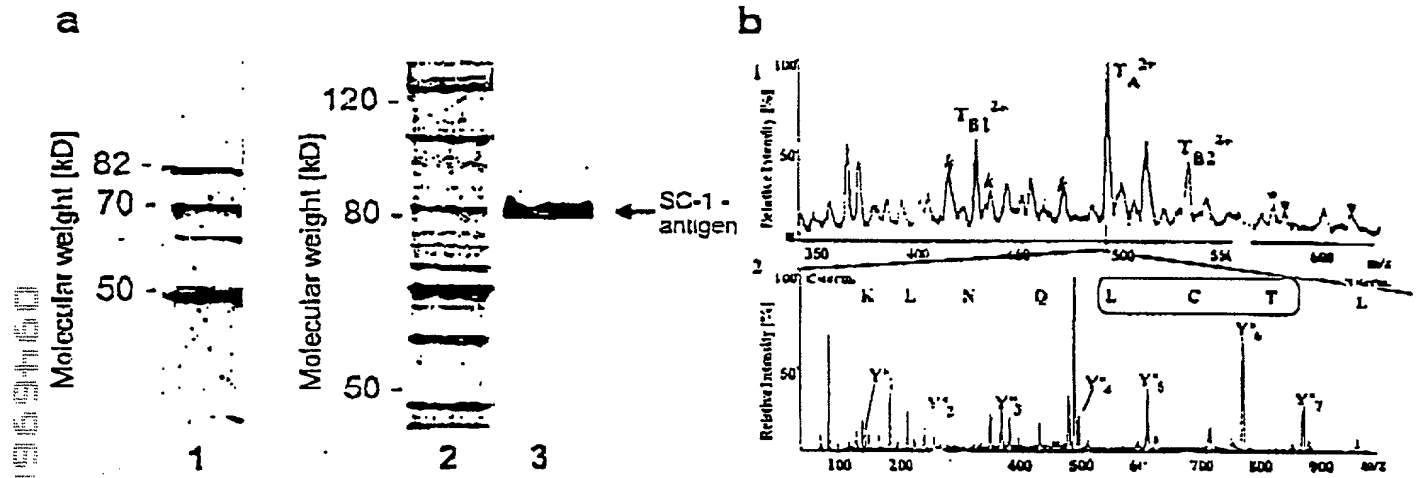


Figure 1 consists of four electron micrographs, labeled A, B, C, and D, showing the ultrastructure of the Golgi apparatus in the developing chick optic tectum. Each panel shows a Golgi apparatus with a prominent trans cisterna. Panel A shows a Golgi apparatus with a prominent trans cisterna. Panel B shows a Golgi apparatus with a prominent trans cisterna. Panel C shows a Golgi apparatus with a prominent trans cisterna. Panel D shows a Golgi apparatus with a prominent trans cisterna.

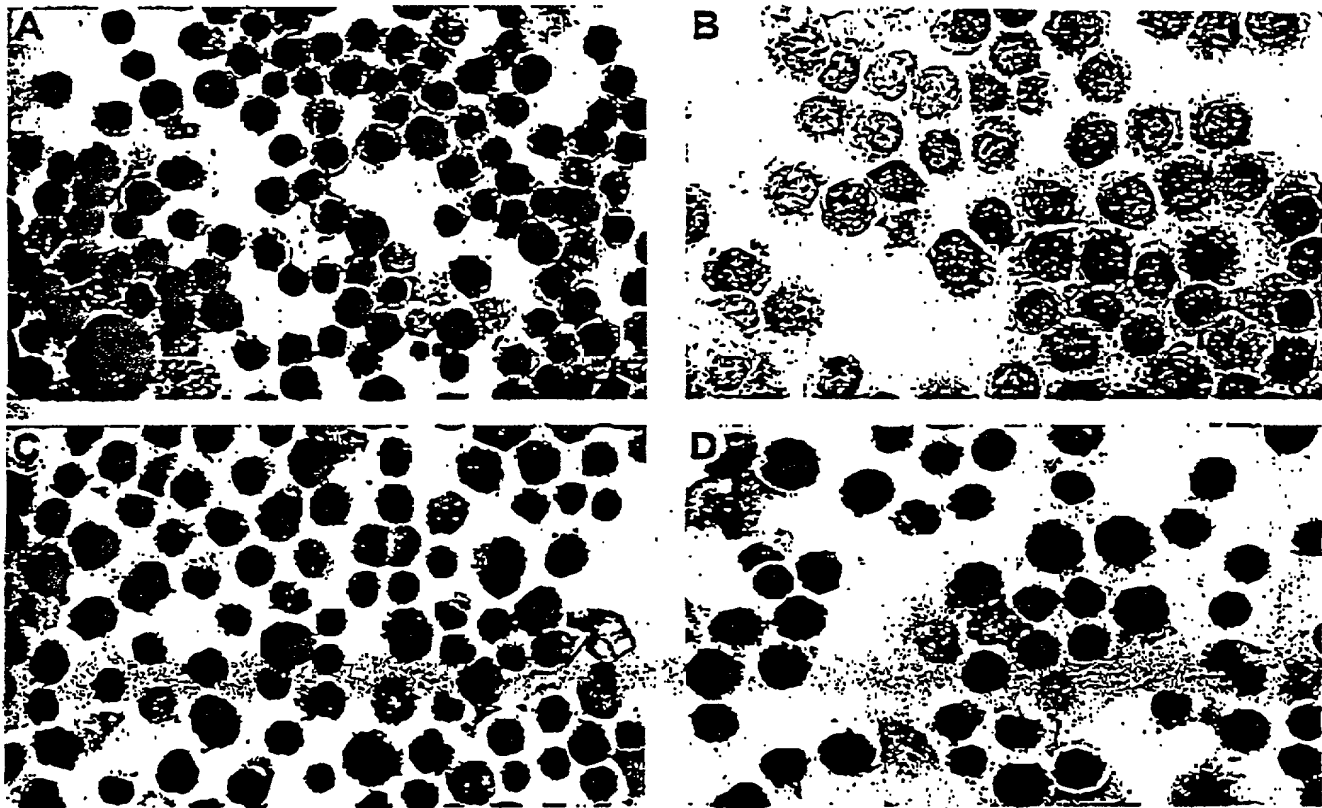
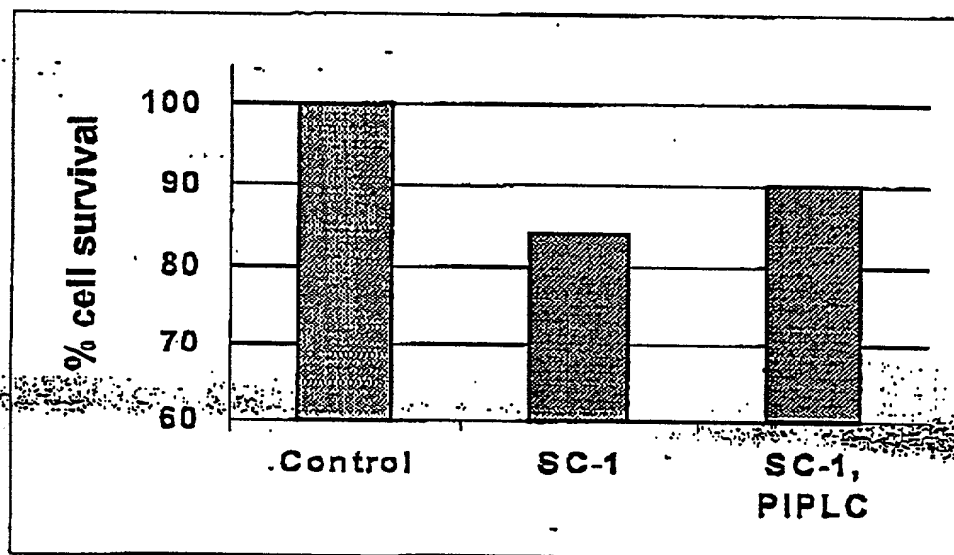
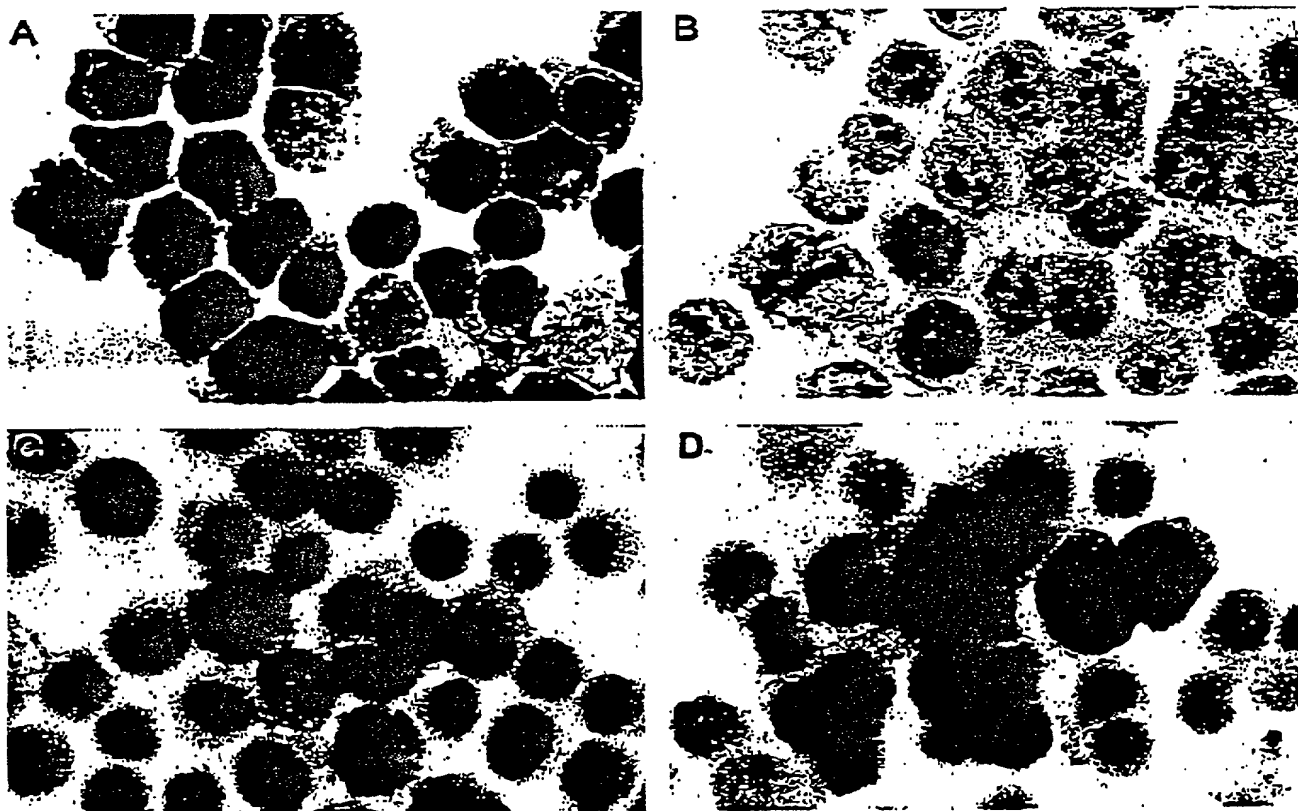


Figure 3



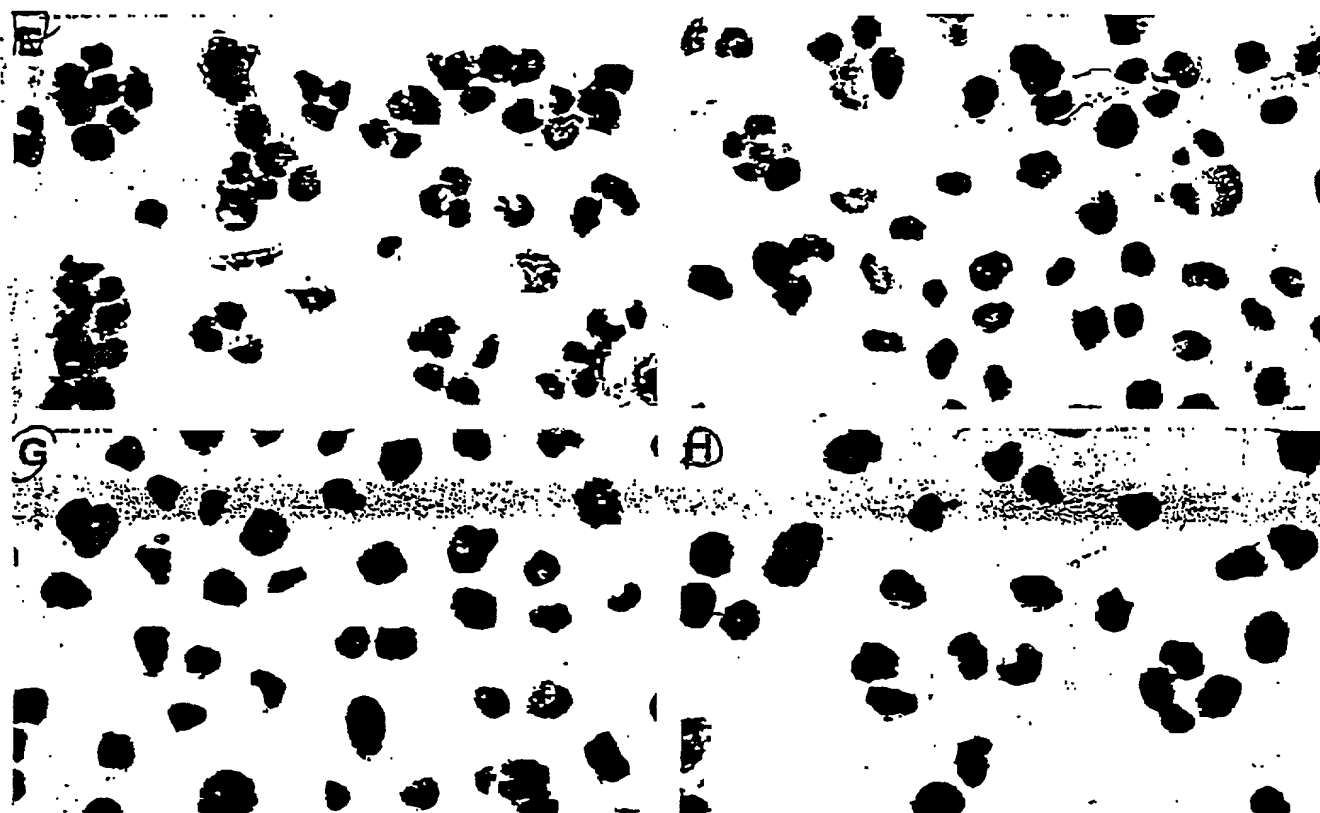
Phospholipase

Figure 4



002227 90969460

Figure 5



09469600-12290

Figure 6

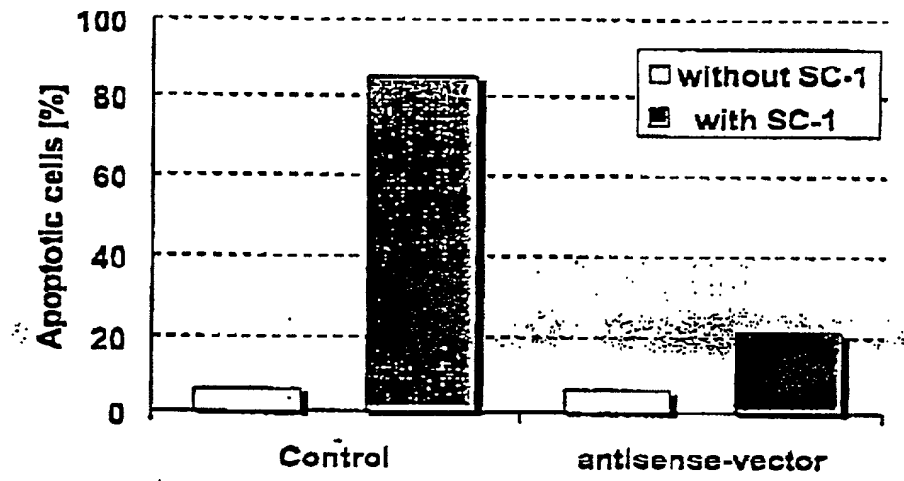
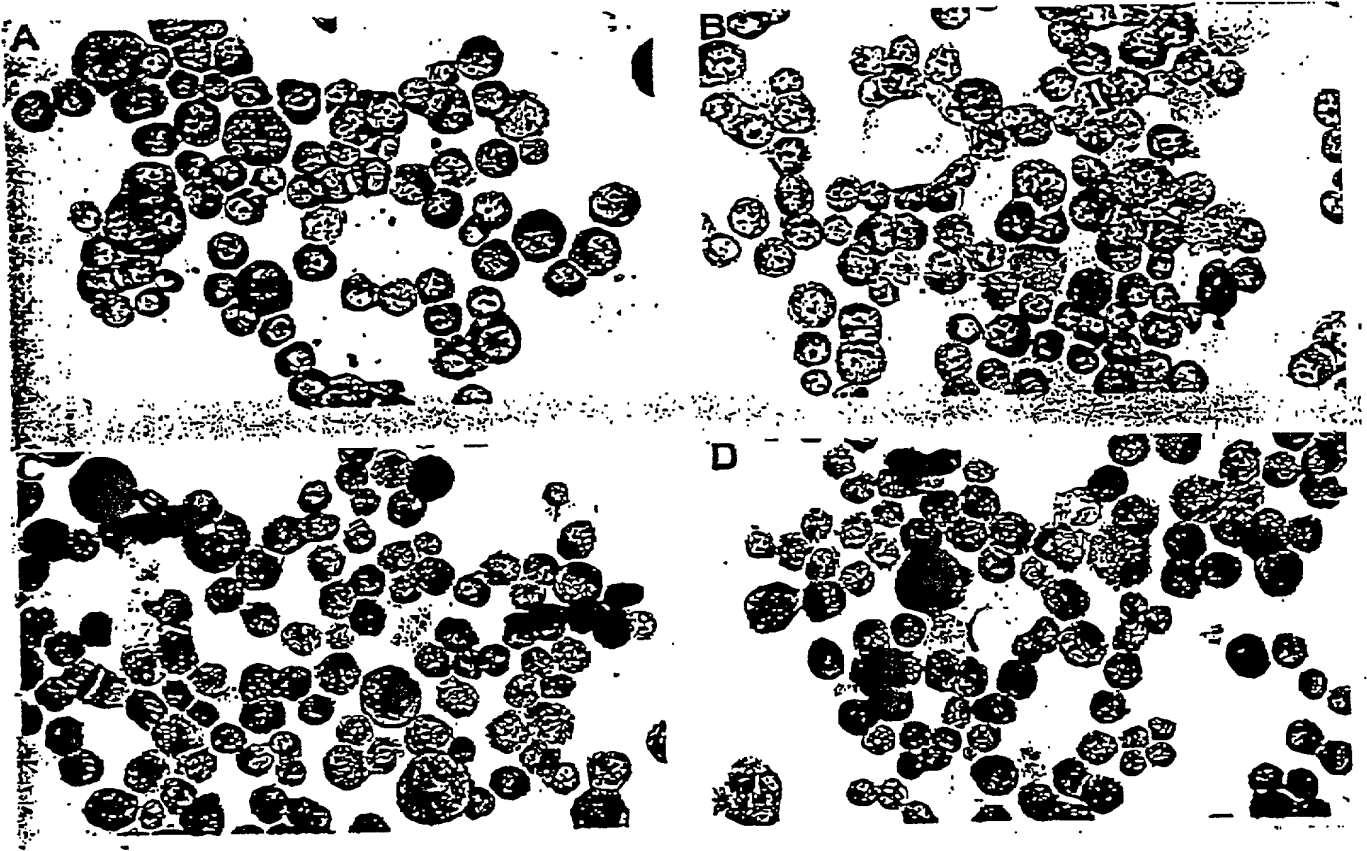
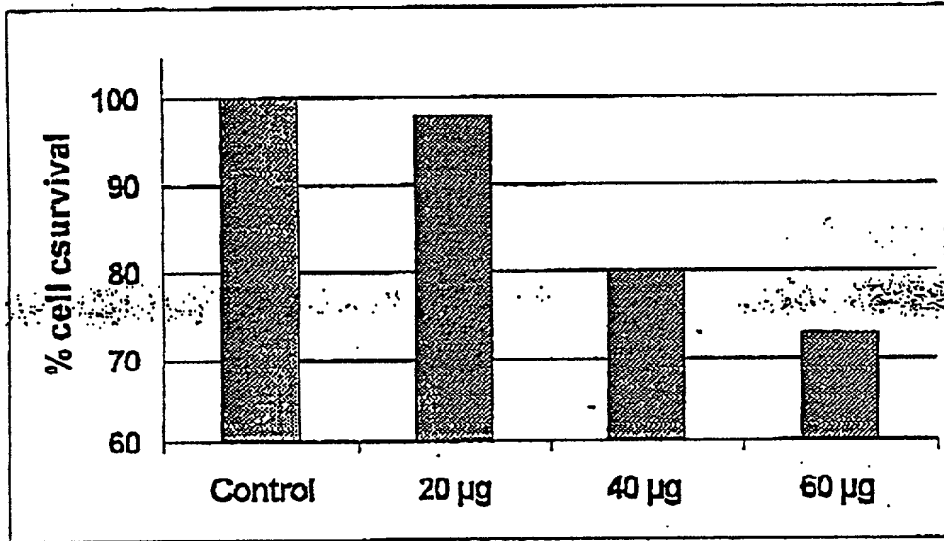


Figure 7

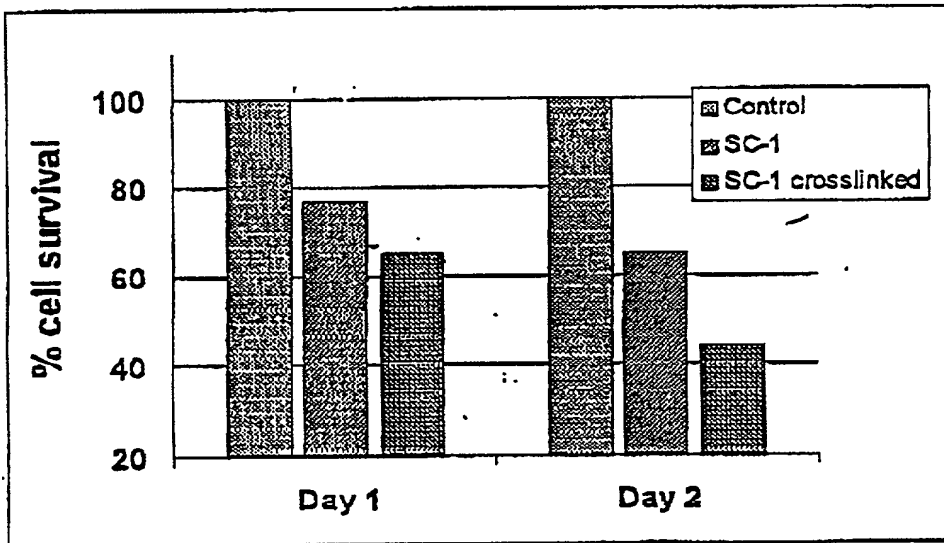


0045906 1229
662221 90969460

Figure 8

A

Verdünnungsreihe

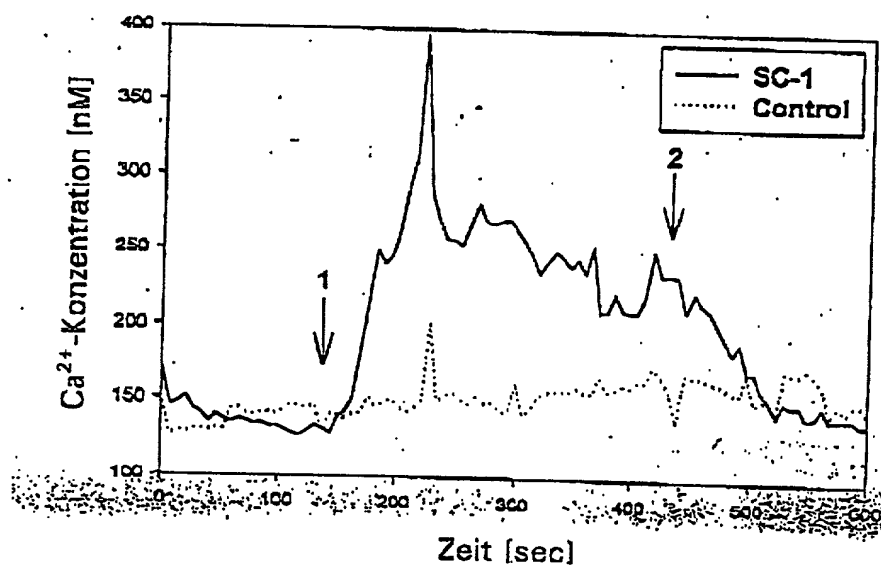
B

Crosslinking

[Key:]

Verdünnungsreihe = dilution series

Figure 9

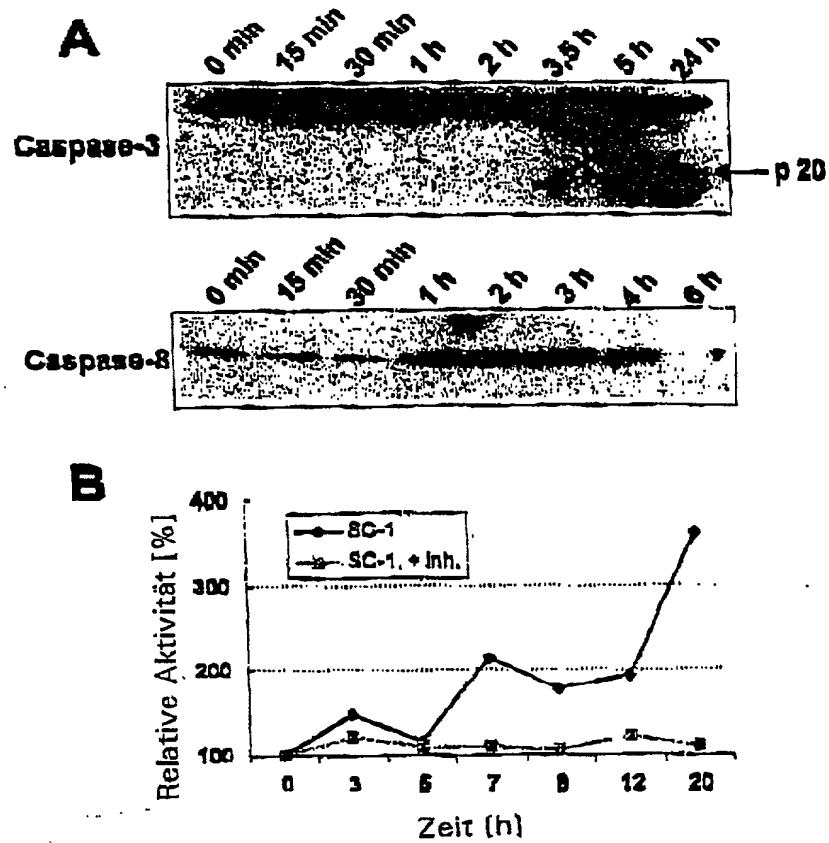


[Key:]

Ca^{2+} konzentration [nM] = Ca^{2+} concentration [nM]

Zeit [sec] = Time [sec]

Figure 10



[Key:]

Relative Aktivität [%] = relative activity [%]

Zeit [h] = Time [h]

662221" 90965460

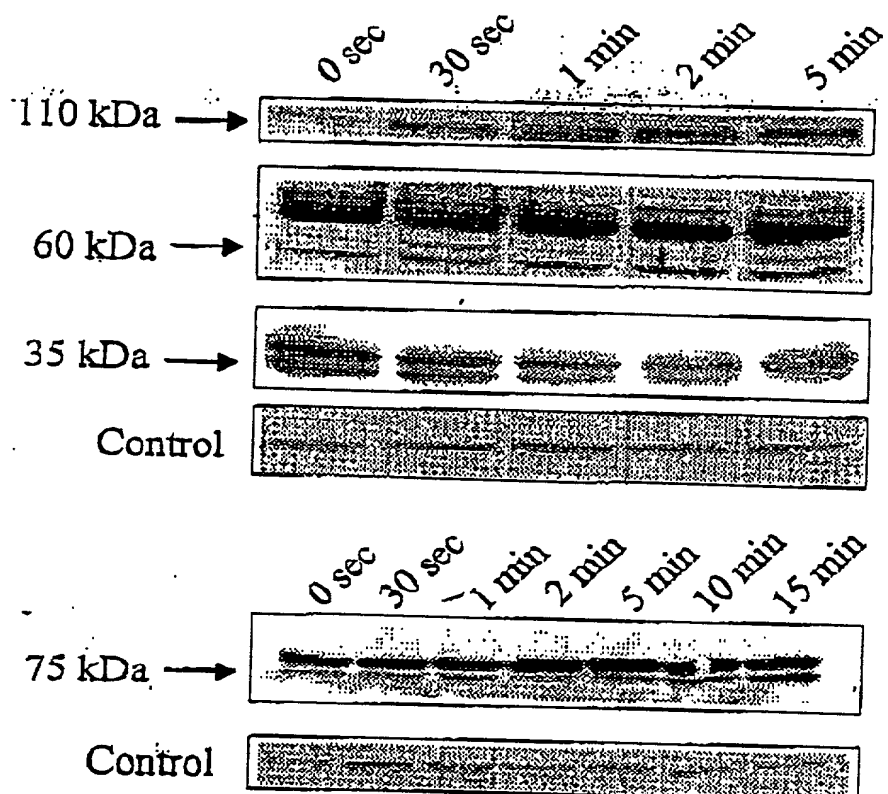
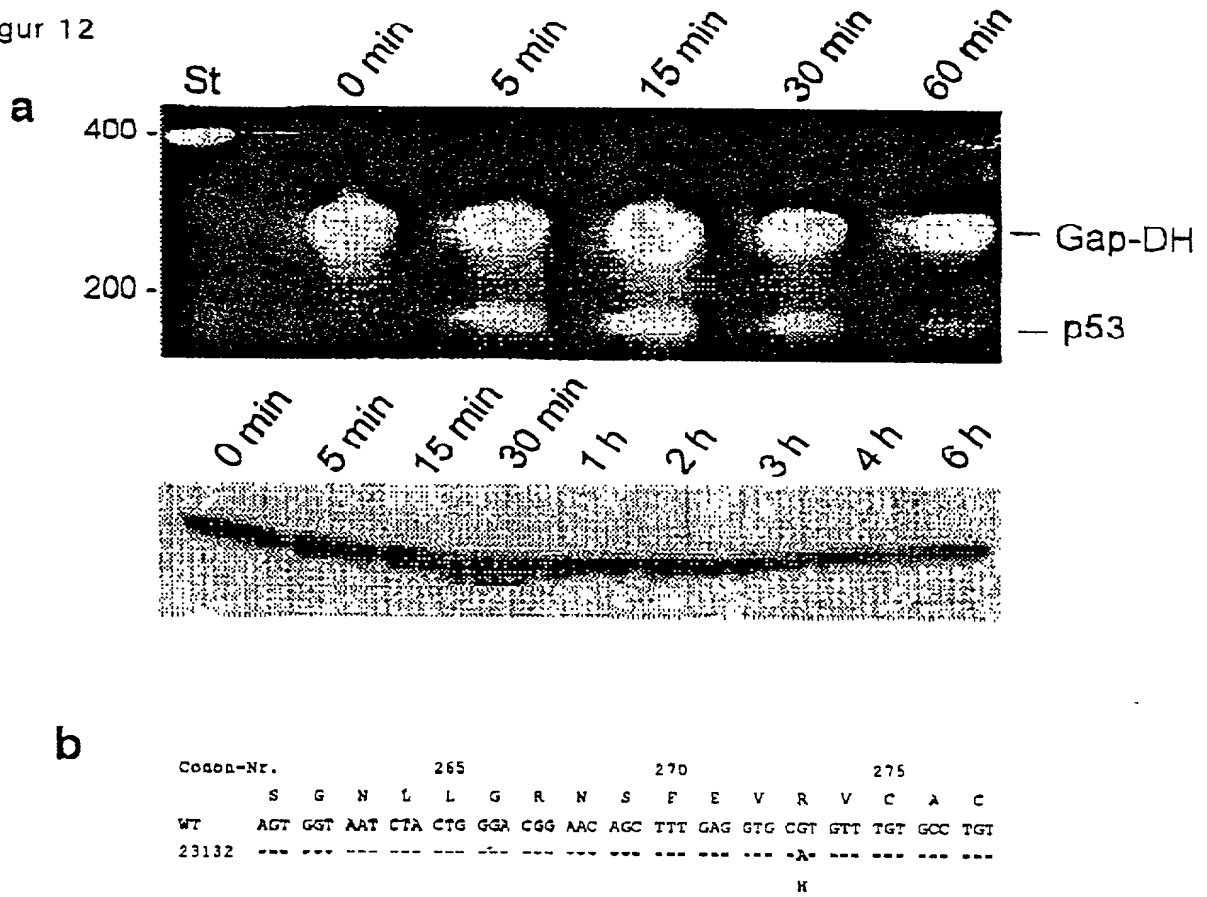
[illegible]

Figure 12

Figur 12



Figur 13

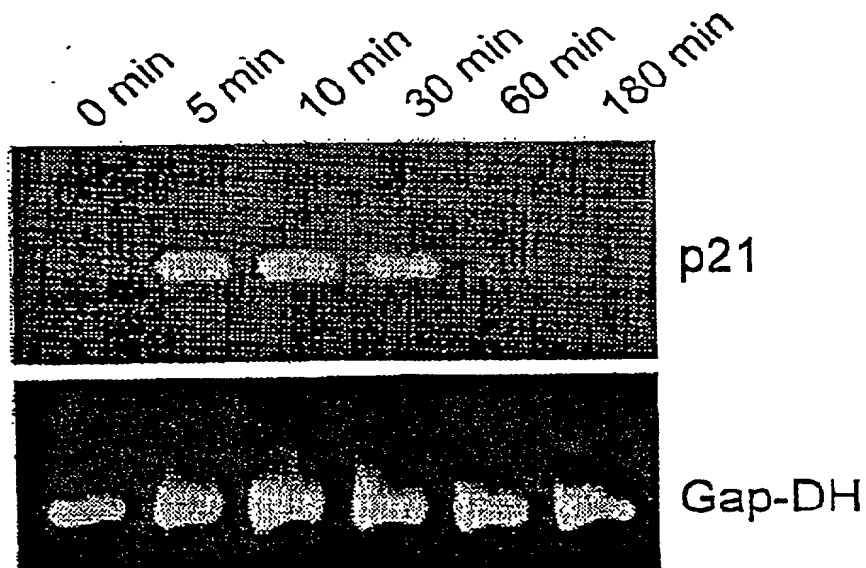
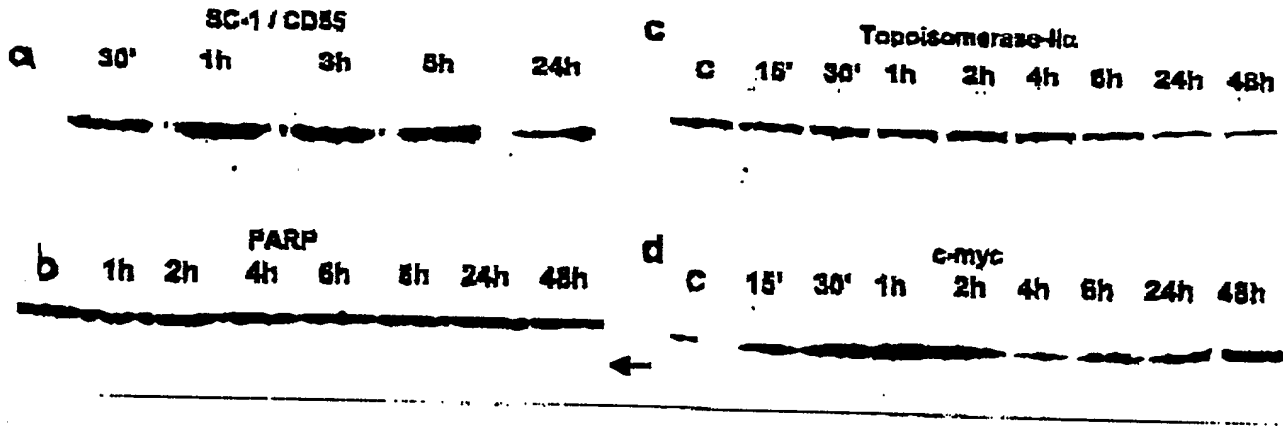


Figure 13



0949606-12299

Figure 14

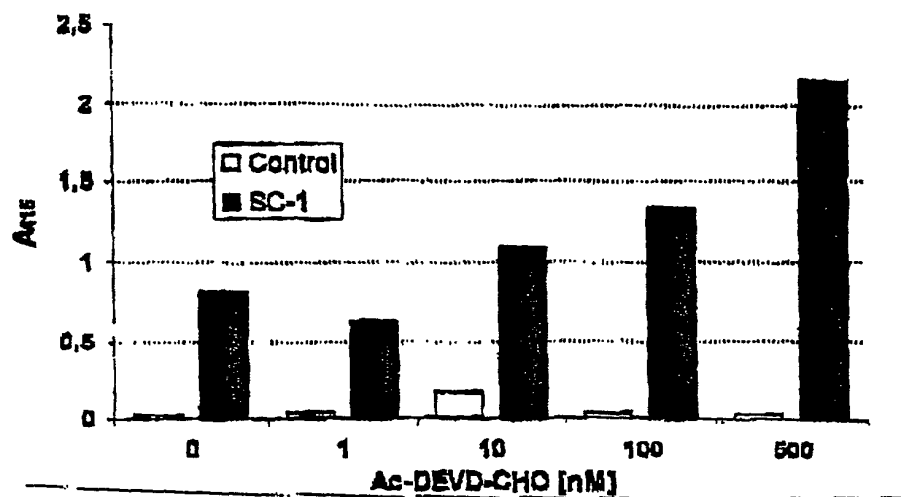
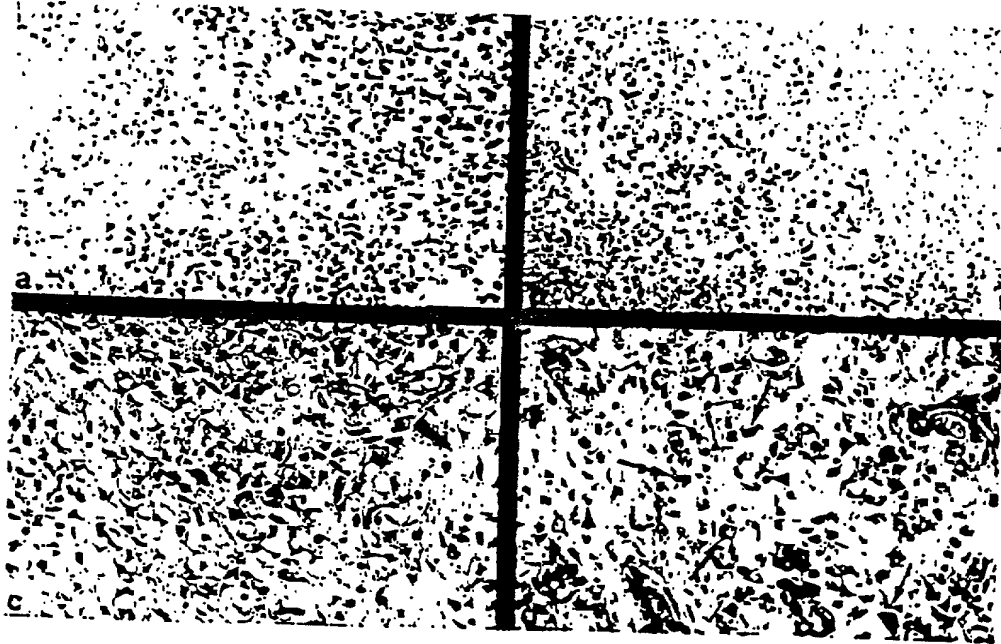


Figure 15



0046006 122200
002221 00060460

Figure 16



09469606-122299
662227-90969460

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
 (Includes Reference to PCT International Applications)

 ATTORNEY'S DOCKET NUMBER
PATWA-2

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought of the invention entitled:

SUBSTANCE FOR OBTAINING HIGHLY EFFECTIVE TUMOR MEDICATIONS AS WELL AS A PROCESS

the specification of which (check only one item below):

☐ is attached hereto.

☒ was filed as United States application

 Serial No. 09/469,606

 on December 22, 1999

and was amended

on _____ (if applicable).

☐ was filed as PCT international application

Number _____

on _____

and was amended under PCT Article 19

on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim priority benefits under Title 35, United States Code, §119 of the following United States Provisional Application and of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR U.S. PROVISIONAL AND FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Germany	198 59 248.5	22DEC98	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Germany	199 09 771.2	05MAR99	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

ATTORNEY'S DOCKET NUMBER

(Includes Reference to EET International Applications)

PATWA-2

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in this/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

POWER OF ATTORNEY: As a named inventor, I hereby appoint I. William Millen (19,544); John L. White (17,746); Anthony J. Zelano (27,969); Alan E.J. Branigan (20,565); John R. Moses (24,983); Harry B. Shubin (32,004); Brion P. Heaney (32,542); Richard I. Traverso (30,595); John A. Sopp (33,103); Richard M. Lebovitz (37,067); John H. Thomas (33,460); Catherine M. Joyce (40,668); Nancy J. Axelrod (44,014) and James T. Moore (35,619) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE & ZIP CODE/COUNTRY

Combined Declaration for Patent Application and Power of Attorney (Continued)

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

PATWA-2

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	POST OFFICE ADDRESS	STREET	CITY	STATE & ZIP CODE/COUNTRY
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	POST OFFICE ADDRESS	STREET	CITY	STATE & ZIP CODE/COUNTRY
207	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE & ZIP CODE/COUNTRY
208	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE & ZIP CODE/COUNTRY
209	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE & ZIP CODE/COUNTRY
210	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE & ZIP CODE/COUNTRY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	DATE	SIGNATURE OF INVENTOR 207	DATE
<i>Mark Peter Volter</i>	1.2.2000		
SIGNATURE OF INVENTOR 202	DATE	SIGNATURE OF INVENTOR 208	DATE
<i>Mark Peter Volter</i>	1.2.2000		
SIGNATURE OF INVENTOR 203	DATE	SIGNATURE OF INVENTOR 209	DATE
SIGNATURE OF INVENTOR 204	DATE	SIGNATURE OF INVENTOR 210	DATE
SIGNATURE OF INVENTOR 205	DATE	SIGNATURE OF INVENTOR 211	DATE
SIGNATURE OF INVENTOR 206	DATE	SIGNATURE OF INVENTOR 212	DATE